

# SOLE PROPRIETORSHIP LICENSE APPLICATION

#### Office location:

201 High St SE, Suite 600 Salem, OR 97301

#### Mailing address:

PO Box 14140 Salem, OR 97309-5052

**Secure Fax:** (503) 373-2155



Board website: www.oregon.gov/ccb

**Questions?** 

Email <a href="mailto:ccb.info@ccb.oregon.gov">ccb.info@ccb.oregon.gov</a> or call (503) 378-4621

# CONSTRUCTION CONTRACTORS BOARD (CCB) LICENSE APPLICATION INSTRUCTIONS

Skip the paper application and APPLY ONLINE today!!

Submitting your application online allows for faster submission, instant confirmation of submission, and faster processing times.

READ INSTRUCTIONS CAREFULLY. If you wish to pay by check, you must submit the following documents by mail as <u>one complete packet</u> to the CCB for licensure:

Application
Payment Information
<ul> <li>Surety Bond (2-page document). In the proper amount of the endorsement selected and in your full legal first, middle, and last name. Do not submit separately.</li> <li>a. Page 1 is the Bond</li> <li>b. Page 2 is the Power of Attorney</li> </ul>
*Please note: As a sole proprietor, your business name is your Full legal first, middle, and last name. This includes any suffix.
<b>Certificate of <u>Liability Insurance</u></b> - Must be in your legal first, middle, and last name and naming the CCB as the certificate holder. Do not submit separately.
*Please note: As a sole proprietor, your business name is your Full legal first, middle, and last name. This includes any suffix.
Proof of passing the Oregon Contractor Exam, if applicable.
<b>Social Security Number / Disclosure for Foreign Applicants</b> . Required signature if any owner who does not have a social security number.

#### ASSUMED BUSINESS NAME(S)

An Assumed Business Name (ABN) is a business name that must be registered with the State of <u>Oregon Secretary of State (SOS) Corporation Division</u> as an assumed business name. All assumed business names must be registered at the Oregon SOS, unless it includes the applicants legal first, middle, and last name. Contact the <u>Oregon SOS</u> to register your business name(s) or call (503) 986-2200.

#### **ENDORSEMENTS**

Contractors must carry the proper <u>endorsement</u> for the type of structures they work on. In selecting the correct endorsement, you will need to know such information as whether you will just work on residential or commercial structures, or whether you will work on both. If you are unsure of what endorsement(s) to select, see the <u>Endorsement Chart</u> on the Board website.

If you are endorsed as a residential specialty contractor, for example, and decide to bid on a large commercial project, you must change your license to carry both endorsements.

#### **WORKERS COMPENSATION**

If you are Non-exempt (have employees) you must provide the name and policy number of your Workers Compensation carrier.

If you selected a commercial endorsement without employees, you must provide, on the application form, Personal Election Workers Compensation coverage.

All Exempt classes (no employees) must complete the Exempt Workers Compensation Status Declaration section of this application.

For more information about workers compensation, talk to an insurance agent or visit the <u>Oregon Workers</u> <u>Compensation Division</u> website. <u>Learn more about who is required to carry Workers Comp (exempt vs non-exempt)</u>.

#### RESPONSIBLE MANAGING INDIVIDUAL & PRE-LICENSE TRAINING AND TEST

Most endorsements are required to have a Responsible Managing Individual (RMI). The RMI may be an owner or employee of the business applying for the license. The RMI must have management or supervisory authority over the construction activities of the business.

You can find a <u>list of approved pre-license educators</u> on the Board website. Once you have taken the training, you will be directed to take the test from your <u>pre-license training provider</u>.

#### **CRIMINAL BACKGROUND**

Providing incomplete or inaccurate information may delay or prevent approval of your license request. The CCB has the authority to do a criminal history check on all applicants and any personal information provided may be used for that purpose.

#### **METHOD OF PAYMENT**

The application fee is \$325 and is non-refundable. This fee covers administrative costs for processing your application.

#### Paying online?

You can submit payments online using VISA, MasterCard, Discover, or ACH/e-Check.

#### Submitting a paper application?

Pay by check or money order, made payable to Oregon Construction Contractors Board or Oregon CCB.

DO NOT EMAIL YOUR APPLICATION OR PAYMENT TO THE BOARD
MISREPRESENTATION IN THIS APPLICATION IS CAUSE FOR DENIAL OF LICENSE
APPLICATIONS ARE NOT PROCESSED IN-PERSON

## **License Application for Sole Proprietorship**

(Residential, Commercial or DUAL Endorsement)

FEE: \$ 325.00

License No.								
□ ENF	□ RBO	□ СВО	□ sos					
Cross Ref								
Test CCB USE ONLY								



Important: Personal information provided on this application will be used to conduct background checks. Incomplete applications cannot be processed. Note that you can now apply for your CCB license and attach any required document(s) online using a debit/credit card or ACH/e-Check payment! This is the preferred and fastest way to submit your application. If you wish to pay by check or money order, please complete all required areas of this paper application, attach additional document(s) as necessary, and include your payment.

FULL Legal First Name	FULL Legal I	Middle Name	FU	LL Legal Last Nam	ne
Date of Birth (MM/DD/YYYY)	Social Securit	Social Security Number		er's License #	Issuing State
Email Address				Phone Number	
Business <b>Mailing</b> Address				The mailing and are the same: [	physical address YES NO
City	State	Zip Co	ode	 County	,
Business <b>Physical</b> Address		City		State	Zip
2 ASSUMED BUSINESS  An Assumed Business Name (ABI  State (SOS) Corporation Division	N) is a business nam as an assumed busi	ne that must be re iness name. All as	ssumed b	usiness names mus	
the Oregon SOS, unless it include	s the applicants leg	gal first, middle (o	r initial), a	and last name.	
Business Name				ABN Re	gistry Number
3 EMPLOYER ACCOUN	T INFORMATIO	N — Required if	you have	e employees	
Oregon Business Identification N	umber (BIN):				

		Owner's Name:				
Federa	al Employer Identification Number (EIN):					
	Required if the business has employees					
	It is not the Social Security Number or the Business Registry Nu					
•	For more information contact the <u>Internal Revenue Service</u> (IRS	5)				
4	ENDORSEMENT(S)					
	RMI = Responsible Managing Individual	CH = Certification Holder				
•	Select appropriate endorsement for either Residential, Comm	nercial or Dual Endorsement.				
•	If applying for DUAL Endorsement, select the appropriate end					
•	If you are unsure of what endorsement(s) to select, see the $\underline{\mathbf{E}}$	ndorsement Chart.				
Reside	ential Endorsement – You may only select ONE residentia	l endorsement.				
	Residential General Contractor (RGC) – Requires RMI					
	Residential Specialty Contractor (RSC) – Requires RMI					
	Residential Limited Contractor (RLC) – Requires RMI					
	Residential Developer (RD) – No RMI required					
	Home Services Contractor (HSC) – No RMI required					
	Restoration Contractor (RRC) – No RMI required					
	Home Inspector Services Contractor (HISC)					
	(No RMI required. Requires CH & Home Inspector Certification App	olication prior to issuing CCB Number/License)				
	List Certification Holder Name	Certification Number				
	Home Energy Performance Score Contractor (HEPSC)					
	(No RMI required. Requires CH & Home Energy Assessor Application	on with this CCB license application)				
	List Certification Holder Name	<u> </u>				
	Residential Locksmith Services Contractor (RLSC)					
	(No RMI required. Requires CH & Locksmith Certification Application prior to issuing CCB Number/License)					
	List Certification Holder Name	Certification Number				
Comm	nercial Endorsement – You may only select ONE commerc	cial endorsement.				
	Commercial General Contractor Level 1 – Requires RMI					
	Commercial General Contractor Level 2 – Requires RMI					
	Commercial Specialty Contractor Level 1 – Requires RMI					
	Commercial Specialty Contractor Level 2 – Requires RMI					

lacktriangle Commercial Developer – No RMI required

_		O	wner's Name:			
5	WORKERS COMPENSATION					
	Exempt = No employees	Non-exempt = Yes	employees			
1.	Do you have Employees?  • If using leased employees from a leasing com	ipany, check "YES"		YES		NO*
	If you have employees, you must provide Workers	s Compensation Insu	ırance:			
	Workers Compensation Policy Carrier	<del></del>	Policy Numb	er		_
2.	Are you applying for a commercial endorsement?			YES		NO*
	If you answered "YES", and you have no employee Compensation coverage:	es, you <u>must</u> provide	Personal Ele	ection Work	ers	
lf you	Personal Election Policy Carrier answered "NO" to both questions, you must complete the	e Exempt Workers Cor	Policy Numb		tion sect	ion below
neanii	ense applicant below has filed their CCB license apping it will not hire employees. If the applicant decide quires it to:  Comply with workers compensation laws and mathe application is an employer.  Provide the Carrier name and policy number Notify the CCB of the change to its workers composubmitting a Change My Workers Compensation	es to hire employees intain a workers con ensation status to n	, the applican npensation in onexempt <b>be</b>	nt understa nsurance po e <b>fore</b> hiring	nds tha licy as l employ	t Oregor ong as rees by
vith th	Account. There is no fee to make this change.  In this document, the applicant certifies that it will ne CCB <i>before</i> hiring any employees. It will also problicy number.	I change their worke	ers compensa	ition status	to none	exempt
on of 70 un by	RS 701.035(3) states: (3) If a person who is licensed are or more employees, utilizes one or more workers so the categories set out in subsection (2)(a)(B) of this 1.992 (Civil penalties and other sanctions) for impropeder subsection (2)(b) of this section hires one or more a worker leasing company, the person is also subject discipline). The person must reapply to the Board in	supplied by a worker section, the person i per licensing. If a pe re employees or utili ct to licensing sanction	leasing comp s subject to p erson who is l zes one or mo	oany or falls enalties un icensed as o ore workers	s into ar der ORS exempt supplie	ny 5 ed
 Busine	ess Entity or Applicant Name					
Signati	ure RFOLURED if exempt		 Date			

Owner's Name:	
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#### RESPONSIBLE MANAGING INDIVIDUAL (RMI) & PRE-LICENSE TRAINING AND TEST

You may skip this section if you are applying with any of the following endorsements. All other Residential or Commercial endorsements are required to have an RMI.

- Residential or Commercial Developer
- Home Inspector Services Contractor
- Residential Restoration Contractor.
- Residential Locksmith
- Home Energy Performance Contractor

To qualify as an RMI you must:

- 1. Complete the 16-hour pre-license training and pass the Oregon contractor exam, OR
- 2. Passed the NASCLA national exam and pass the Oregon contractor exam, OR
- 3. Provide one or more license number(s) that the owner or RMI has been continuously associated with during the time period before July 1, 2000, until the date of this application with no lapse of more than 24 months.

RMI Full Legal First Name	Full Legal Middle Name	Full Legal Last Name	
Date of Birth (MM/DD/YYYY)	Last 4 digits of Social Security #	Driver's License #	Issuing State
The RMI is an: Owner	Employee		
Attach a copy of the Pre-Licer	nse score report OR provide the CCB	license number that the RN	⁄II has
continuously been associated	with:		
As the RMI, I certify that:			
business incurs a construction	visory authority over the construction debt that it does not pay, I underster CCB license until that construction	and that I may be prohibite	d from serving
Signature of Responsible Mar	naging Individual REQUIRED	 Date	

#### CERTIFICATION OF EXPERIENCE FOR COMMERCIAL CONTRACTORS

Commercial General and Commercial Specialty Level 1 or 2 applicants must certify that their Key Employee(s) have the appropriate amount of construction experience. A "Key" Employee is an owner or employee who is a Corporate Officer, Manager, Superintendent, Lead person or other person who exercises management or supervisor authority over the construction activities of the business.

If you	selected: Commercial General Contractor or Commercial Specialty Contractor – Level 1 endorsement					
	Check this box to certify that your Key Employee(s) have eight (8) years total construction experience as defined below.					
If you	If you selected: Commercial General Contractor or Commercial Specialty Contractor - Level 2 endorsement					
	Check this box to certify that your Key Employee(s) have four (4) years total construction experience as defined below.					
How n	nany key employee(s) does your company have?					
Key Er	nployee(s) must have:					

- 1. Experience gained as a licensed contractor, journeyman, foreperson, supervisor or as any other employee engaged in construction work for a licensed contractor.
- 2. The following may substitute for up to a maximum of three (3) years of experience:
  - Completion of an apprenticeship program
  - A bachelor's degree in a construction related field
  - A bachelor's degree or master's degree in business, finance, or economics
  - An associate degree in construction or building management

7;	יעי	ONS	TRUCTION DEBT / LICENSE HISTORY				
1.	Check the appropriate box(es) below if <u>any</u> person listed on this application has <u>any</u> of the following:						
	☐ Neither the business, nor any person listed in the application, have any outstanding construction debt obligations, OR						
			A final unpaid order has been issued by the Construction Contractors Board.				
	A final, unpaid court judgement, a final unpaid arbitration award or a final unpaid civil penalty arising from the construction activities within the United States.						
	A final unpaid court judgement or final unpaid civil penalty arising from failure to maintain workers compensation insurance or pay workers compensation awards.						
			An amount is owed to employees of a construction contracting business for unpaid wages.				
2.	Has	any p	person listed on this application had a contractor license refused or revoked in another state?				
		NO	YES If yes, what State?				
	If y	es, lis	et applicant(s) Full Name:				

\*Additional documentation may be requested

Owner's Name:		

# 10 CRIMINAL BACKGROUND

Has any person lis	sted on this a	application	n been indicte	d for or convicte	ed of any of the fol	lowing cr	imes?	
□ NO □ YES		If yes, list applicant(s):						
	Date	State	County		Date	State	County	
☐ Murder				Robbery 1		<del></del>		
☐ Assault 1				☐ Theft 1				
☐ Kidnapping				☐ Arson 1				
☐ Sexual abuse				$\square$ Theft by ext	ortion	·		
Rape, sodomy or unlawful sexual penetration								
If you are under court-ordered supervision, parole or probation, please provide the name and contact information for your parole/probation officer.								
Parole/Probation	Parole/Probation Officer Name Phone Number							
Email Address								

NOTE: Providing incomplete or inaccurate information may delay or prevent approval of your license request. The CCB has the authority to do a criminal history check on all applicants and any personal information provided may be used for that purpose.

Owner's Name:
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## 11 INDEPENDENT CONTRACTOR CERTIFICATION

All applicants must certify that their business activities will be performed in compliance with Oregon's independent contractor law (ORS 670.600).

At all times while conducting business as a CCB Licensee:

- 1. The licensee will be free from a client's direction and control over the means and manner of providing the services. The applicant is subject only to the right of the client, for whom the services are provided, to specify the desired results of the work.
- 2. The licensee will be customarily engaged in an independently established business by at least three of the following criteria:
  - Maintaining a business location that is separate from the business or work location for whom the services are provided; or that is in portion of the applicant's residence and that portion is used primarily for the business.
  - b) Bearing the risk of loss related to the business or provision of services as shown by factors such as: entering into fixed-price contracts; a requirement to correct defective work; warranties the services provided or the applicant negotiates indemnification agreements or purchases liability insurance performance bonds or errors and omissions insurance.
  - Providing contract services for two or more different persons within a 12 moths period, or routinely engaging in business advertising, solicitation or other marketing efforts reasonably calculated to obtain new contracts to provide similar services.
  - Making significant investment in the business, through means such as: purchasing tools or equipment necessary to provide the services; paying for the premises or the facilities where the services are provided; or paying for the licenses, certificates or specialized training required to provide the services.
  - Having the authority to hire other persons to provide or to assist in providing the services and has the authority to fire those persons. Contractors hiring employees must be licensed under the non-exempt class of independent contractor and carry proper workers compensation insurance to protect subject workers.
- 3. The licensee will maintain an active license with the CCB in accordance with ORS 701 while performing construction activities.
- 4. The licensee is responsible for obtaining other licenses or certifications necessary to provide the construction services.

YES, I certify to all the above items.

Owner's Name:		

## 12 CERTIFICATION & SIGNATURE(S)

- I certify to the truth and accuracy of all statements, answers and representations made in the foregoing application, including all supplementary statements. I further acknowledge that knowingly supplying false information on the application is a Class A Misdemeanor punishable by law.
- For as long as this license is in effect, the applicant will continue to carry the required liability insurance and surety bond.
- Effective the date of this application, if the applicant hires employees, the applicant is required to comply with workers compensation laws and will maintain a workers compensation insurance policy as long as the applicant is an employer.
- If the Responsible Managing Individual (RMI) or qualifying individual leaves the business, the applicant will notify the CCB in writing immediately and will provide CCB with a new RMI or qualifying individual's name using the RMI Change form.
- The applicant will operate as an independent contractor per ORS 670.600.
- The applicant understands that any and all information regarding their license may be shared with other government agencies.
- The applicant must remain in compliance with the terms of this license. Failure to do so could result in a civil penalty of up to \$5,000 per offense and/or license suspension or revocation.
- If this business incurs a construction debt that it does not pay, the individual(s) understands that they may be prohibited from serving as an owner or RMI of another license applicant until that construction debt is satisfied, paid, or discharged.
- The applicant understands that signing below as an owner or RMI of this application; he/she will be held liable for the licensee's compliance with all applicable statutes and rules.
- Any personal identifying information may be used to conduct background screenings on all applicants.

By signing below, I certify that I have read and understand the statements listed above.				
FULL Legal Name				
Signature REQUIRED	 Date			



#### 13 SOCIAL SECURITY NUMBER/DISCLOSURE FOR FOREIGN APPLICANTS

If you were issued a Social Security Number by the United States Social Security Administration, you may skip this section.

#### **Declaration of Social Security Number**

As part of your application for an initial license and certifications, issued by the Oregon Construction Contractors Board, you are required to provide your Social Security Number to this agency. The authority for this requirement is Oregon Revised Statute (ORS) 25.785, ORS 701.046, and 42 USC § 666(a)(13) (Federal Law). Failure to provide your Social Security Number will be a basis to deny the certificate or license you seek. Your Social Security Number may be shared with other authorities only for tax administration, debt collection, and child support enforcement purposes.

By signing this application, on the previous page, each owner, officer, member acknowledge the disclosure of their Social Security Number and it's use by the Construction Contractor Board for the purposes stated above.

If you were issued a Social Security Number by the United States Social Security Administration, you may skip this section.

#### **Disclosure of NO Social Security Number**

As part of your application for license or certifications, issued by the Oregon Construction Contractors Board, you are required to provide your Social Security Number to this agency if such a number has ever been issued to you. <u>If a member, officer, or owner has not been issued a social security number then the section below must be signed pursuant to ORS 25.785</u>.

Your signature(s) below serves as your declaration that you <u>have not been issued a Social Security</u> <u>Number</u> by the United States Social Security Administration.

Full Legal Name		
Signature to declare NO ISSUANCE OF SSN	Date	

#### PAYMENT INFORMATION

Please read the application instructions carefully prior to submitting the application and payment to the Board's office. Incomplete applications or missing documentation will delay the licensing process. Verify the information provided is complete and accurate prior to submitting.

You may submit payment and your application using ONE of the following options (please select only one):

#### Online Payment by Credit/Debit Card or ACH/e-Check (preferred method)

#### **APPLY ONLINE**

You will be required to complete a licensure application at the time of payment. **The online application submission process is recommended.** If you've prepared your paper application, you can follow the online application to upload the details as well as upload any required documents as a PDF, Word, or Excel document.

VISA, MASTERCARD, or DISCOVER ONLY accepted for credit card payments.

For card payments, you will be required to enter your card information including cardholder name, card number, expiration date, CVV number and complete billing address.

#### ACH/e-Check

For ACH/e-Check payments, you will be required to enter your checking account information, including bank routing number and checking account number.

Payments are made through a secure portal and all information entered is encrypted.

#### Payment by Check or Money Order

Check or Money Order can be made payable to the "Oregon Construction Contractors Board" or "Oregon CCB". Mail the check/money order to the Board office at:

Regular Mail: Priority Mail:

P.O. BOX 14140 201 High St SE, Ste. 600

Salem, OR 97309-5052 Salem, OR 97301

Receipts for credit/debit card and ACH payments: Upon completion of the online application submission and payment process, you will receive confirmation that your application was submitted successfully. An automated email notification will also be sent to the address you entered with the application.

**Receipts for Check payments:** Your cancelled check is your receipt. If you need more detailed receipt, you may make the request by email to <a href="mailto:ccb.info@ccb.oregon.gov">ccb.info@ccb.oregon.gov</a>. The Board will email a receipt to you later.